The U.S. Navy submarine force has set the standard in undersea warfare for at least half a century. America’s submarines made a vital contribution to victory in the Second World War, and they formed an elite force of truly innovative capabilities during the “Cold War at sea” with the Soviet Navy. Since the end of the Cold War, the submarine force has been a leader among U.S. military warfighting communities in transforming itself to remain relevant against militant Islamist extremism and other emerging threats.

In such missions, the submarine force conducts strategic deterrence, intelligence and surveillance, extended-range land attack, and insertion of special forces, in addition to forming the essential backbone of the Navy’s mission of sea control—the all-important, enabling task of maintaining command of the seas for the U.S. armed forces. With the launch of the first of the Virginia class in 2003, the Navy’s position at the forefront of global submarine forces was set for the foreseeable future.

Perhaps partly inspired by the great successes of the U.S. submarine force, navies around the world have invested heavily in undersea warfare, especially in submarine capabilities. China stands out among these as an emerging submarine power. Over the last decade, Beijing has been building four different classes of boats while importing the Kilo-class diesel submarine from Russia in large numbers. Indeed, China’s intense focus on undersea warfare has led some to speculate that a transpacific rivalry is already under way, at least with respect to submarine capabilities. As policy makers in

**CHINESE EVALUATIONS OF THE U.S. NAVY SUBMARINE FORCE**

*Gabriel Collins, Andrew Erickson, Lyle Goldstein, and William Murray*
Washington grapple with the challenge of China’s rise, therefore, it may be wise to consider how Beijing is approaching its evolving naval strategy dilemmas. This article examines Chinese views of the American submarine force. As that submarine force constitutes one of the most vital elements of Washington’s overall strategy for establishing and maintaining sea control in times of conflict, Beijing’s assessment of those capabilities may be critical to uncovering the future evolution of this nascent rivalry.

More specifically, then, this research was undertaken for three reasons:

- The U.S. Navy submarine force is thought to represent a key capability for conflict scenarios involving China.
- This part of the U.S. Navy has undertaken major efforts at transformation within a new geostrategic and technological environment.
- The American submarine force represents a rather well-defined warfare area and thus lends itself to a bounded research effort.

Over the last decade, there has been an explosion of publishing in China on all subjects, including strategic and military-technical research. Thus, there are at least five serious journals devoted to naval warfare and dozens of more technically oriented journals.¹ In this project, well over a thousand Chinese articles were surveyed, of which approximately 150 were judged worthy of closer scrutiny and analysis by the research team. The danger of circularity—attributing to Chinese analysts ideas that have simply been translated from original English-language sources into Chinese—is real, but one that the research team carefully considered throughout. Most Chinese journals now openly attribute English-language articles to their original sources. By and large, this kind of material (direct translation from English) was not evaluated in this study, in favor of articles that appeared to represent the actual opinions of Chinese naval and defense analysts.

This article is divided into five parts. The first section surveys Chinese reactions to a variety of current issues in the U.S. submarine force, including recent deployments and incidents of special interest. A second section examines Chinese evaluations of specific submarine force capabilities, focusing especially on new factors (e.g., the development of SSGNs) that have been central to transformation efforts. Section three considers some critical historical issues, particularly Chinese perceptions of U.S. submarine operations during the Cold War. A fourth section considers how Chinese analysts believe their antisubmarine forces would match up against the U.S. submarine force. Section five reviews Chinese perceptions regarding the overall future trajectory of the U.S. submarine force. A conclusion summarizes the article and offers policy recommendations.
Overall, this article finds that Chinese naval analysts study the U.S. submarine force in excruciating detail, as concretely manifested in thousands of both strategic and technical articles that focus on it. As one Chinese naval analyst puts it, “Nuclear attack subs are the most worthwhile weapons investments because they are the most survivable weapons platforms. . . . During a regional conflict, [U.S.] nuclear attack submarines are the first in and last out.” Nevertheless, there is also a keen appreciation that the U.S. Navy is focusing primarily on ongoing military operations in Iraq and Afghanistan. Writing in the official PLA journal (Modern Navy), one analysis declares, “The U.S. Navy’s capabilities to wage war at sea are gradually declining, and open ocean warfare is already not a focal point.”

Recognizing the potentially major role of the U.S. submarine force in China contingencies, another analyst suggests: “On the basis of a great quantity of research, the PLA [People’s Liberation Army] believes that U.S. nuclear submarines are very quiet, and difficult to discover and counterattack; at the same time, [their] attack power is great, [and] must [be] restrain[ed].” Such assessments underline the importance of a closer examination of Chinese perspectives concerning the American submarine force.

CURRENT DEVELOPMENTS
In order to give a sense of what Chinese analysts believe to be the trajectory of U.S. submarine force development, it is useful to examine their assessments of two significant recent events: the grounding of the Los Angeles–class submarine USS San Francisco (SSN 711) and the stationing of nuclear-powered submarines on Guam.

The Grounding of the USS San Francisco
The collision of San Francisco with an underwater mountain on 8 January 2005 greatly interested China’s naval press. The articles published then, which prominently feature official U.S. photos of the damaged vessel, express admiration that a submarine that received such damage could have returned to port. This respect is couched in terms of the fundamental strength designed and built into the ship, however, not in terms of the critical factors of crew training and damage control. Author Qi Yaojiu, for example, wrote approximately four months after the incident in a typical article, “In order to investigate battle damage strength, the U.S. undertook strength tests [for submarines] under the conditions of nuclear weapons detonation.” Additionally, “almost every U.S. submarine, before entering into active service, undergoes tests that use underwater explosives to evaluate resistance to battle damage.”

Notwithstanding this apparent respect, the author recognized that the damage San Francisco incurred would have amounted to a “mission kill,” stating: “If the
San Francisco collision had occurred during wartime, and crew members had experienced such wounds, the San Francisco would essentially lose its basic combat effectiveness.” A realization that submarines do not have to be destroyed in order to lose combat effectiveness could influence Chinese operational calculations.

Also characteristic of Chinese discussions of San Francisco’s grounding is an undercurrent of bewilderment, asking in effect, “Why were they going so fast?” The tone of analysis implies that such a high-speed transit is somewhat reckless. Thus, one Chinese analyst states that “a nuclear submarine in the process of underwater high speed transit is confronting serious danger” and that “even some U.S. Navy officials expressed that they could not understand the incident.” Another author declares, “It is well known in all navies that as soon as a submarine enters international waters in order to protect its stealth, the submarine will not rely on its active sonar. Objectively speaking, a submarine at high speed that is not operating its active sonar is in danger comparable to a vehicle without headlights traveling in the pitch dark.” Perhaps because China’s submarine force consists primarily of diesel submarines that rarely make high-speed, long-distance transits, the circumstances surrounding the collision seem peculiar to Chinese naval analysts.

Chinese analyses of the San Francisco incident recognize the United States as a world leader in submarine rescue. As one author observes, “Overall, the USN employs the best submarine rescue vehicles and has the most extensive exercises, so its submarine rescue capability leads the world.” This appraisal is corroborated in Modern Navy: “Over the last few years, the U.S. Navy has continuously explored submarine rescue methods, and thus strengthened international cooperation, enhancing submarine rescue exercises with its allies. For us this represents a certain inspiration.” Moreover, the Chinese author states, “small groups at various bases are alternatively ready for war or ready to go out and undertake the rescue of an American or allied submarine at any time.” Even though the Chinese navy evidently has extreme respect for the U.S. submarine force, the analyses of the San Francisco incident appear to show awareness that even this elite force can make errors and must invest in cutting-edge rescue technologies.

SSNs in Apra Harbor
As might be expected, China’s naval press has watched the military buildup on Guam with great interest, particularly that of the American submarines. A 2004 article in Modern Navy suggests, “The U.S. Navy has stationed three nuclear-powered Los Angeles–class attack submarines on Guam. At present, the U.S. military has considered dispatching an additional 6 nuclear submarines. . . . Deployment of such weapons would give the U.S. military considerable capacity to ‘gain the initiative by striking first’ at us from the sea.”
The same journal a year later described the basing of nuclear-powered attack submarines (SSNs) on Guam in greater detail, observing that the United States officially reestablished Submarine Squadron 15 on Guam under Submarine Group 7 in February 2001 and deployed three nuclear-powered attack submarines there: the first and second in fall 2002 and the third in summer 2004. Moreover, as administered by Commander, Submarine Force Pacific, the submarine group “on the basis of troop deployment plans regularly dispatches 4–5 submarines under its 7th fleet jurisdiction. The duty period of these submarines is ordinarily 6 months. Each submarine can execute missions independently, or can attach to a carrier battle group.”

The operational significance of stationing SSNs on Guam is not lost on Chinese naval analysts. One observes that “if [a submarine] sets out from Guam, especially in a Taiwan Strait crisis, it may only require 2 days or so.” A significant finding of the present study is that even in official journals, Chinese analysts are exploring Guam’s vulnerabilities. The same author notes that Guam, in addition to conferring some advantages to the United States in a Taiwan crisis, also carries self-defense vulnerabilities having strategic implications:

The U.S. military has still not established a defense system of anti-aircraft, anti-missile, and other defense systems on Guam—[there exists] only a pittance of coastal patrol forces. Once there are hostilities, Guam’s defense can only rely on the U.S. Navy’s sea-based missile defense system and Air Force joint operations. Consequently, in wartime, Guam’s defense is still a problem; also, because it is in a special position surrounded on four sides by ocean at the intersection of three major international sea lanes, it is impossible to defend effectively. If the other side’s long-range ballistic missiles, submarine-launched cruise missiles, long-range bombers or maritime special forces operations units, etc., can break through Guam’s peripheral warning and defense, [to] destroy or seriously damage its naval port, airfield, munitions warehouse, and communications system, [then] the entire operational system of America in the Pacific Theater can become ineffective, its sustained warfare capability can greatly fall short of requirements [and] its resolution and dynamics of military intervention would have to change.

Regardless of the validity of their specific claims, then, it is clear that some Chinese analysts perceive Guam to be vulnerable to offensive attacks.

U.S. NAVY CAPABILITIES
Having set the scene by reviewing major submarine force developments noted by Chinese analysts, we now turn to a more comprehensive survey of the major American capabilities that have attracted their attention. These include nuclear-powered cruise missile–armed submarines (SSGNs) and Tomahawk cruise
missiles, Trident submarines, fast attack submarines, sensors and systems, and research and development.

**SSGNs and Tomahawks**

Chinese analyses demonstrate interest in the Navy’s four new SSGNs, their conversion from *Ohio*-class ballistic-missile submarines, and their mission areas. A fairly typical article observes that

refitting focal points are refitting the first 1–2 of 24 ballistic missile launch tubes for the use of special forces; tubes 3–10 into special forces use or for Tomahawk cruise missiles; [and] tubes 11–24 for Tomahawk cruise missiles. After refitting, the submarine can carry 154 Tomahawk cruise missiles, and 66 special forces personnel, a dock/shipyard cover, a frogman transport ship (SDV), and an advanced Seal Transport System (ASDS).

Although they clearly recognize the potential value of an SSGN’s embarked special operations forces, Chinese analysts appear to be much more impressed by the implications of one SSGN’s potentially large inventory of Tomahawk cruise missiles and the high readiness rate that SSGNs will be able to maintain. One perceptive article observes that these features will allow other ships to focus on different mission areas:

After being refitted, SSGNs will be deployed 65% of the time each year on average. As such, the USN will always have at least 2 SSGNs ready for battle at any time, and in wartime, 1 SSGN can take over the duties of many attack submarines and surface ships. Once the SSGN goes into service, this will significantly reduce the land attack burden shouldered by the surface fleet and allow it to focus on providing air defense against missile threats. At the same time, the SSGN will reduce the land attack role of SSNs, enabling them to concentrate on anti-surface and ASW [antisubmarine warfare] missions.

The same analysis also recognizes with some alarm that “it is conceivable that in the future the arsenal ships could from a safe distance simultaneously rain 500 or more guided missiles upon several points of an enemy’s territory. Using [the SSGN] would be stealthier and faster than an air raid by carrier based aircraft and would also avoid pilot losses.”

Chinese literature on SSGNs suggests anxiety regarding this capability and what it may mean for Chinese forces. One analysis calculates that SSGNs will allow the United States to engage in saturation attacks: “The ground forces that have relied on the traditional deception against air attack, such as fake targets and positions, will be severely tested under future conditions in which the U.S. armed forces are able to employ saturation attacks by low-cost [cruise missiles].” Another analyst, however, points out that Tomahawks are expensive, estimating that Tactical Tomahawks cost anywhere between 5.7 and 8 million dollars a round.
One Chinese lesson from the Kosovo conflict was that the United States does not possess an infinite inventory of Tomahawk cruise missiles; even in that relatively minor conflict, it adjusted its weapons stocks to cope with apparent resource limitations.23

**Trident Submarines**

Chinese writings about SSBN capabilities express concern about potential U.S. plans to place conventional warheads on submarine-launched ballistic missiles. One scholar writes that “the new Trident II D5 can achieve a CEP [circular error probable—generally, accuracy] of nine meters. Therefore, as far as point targets are concerned, there already exists the ability to achieve nuclear destruction with a conventional warhead.” This accuracy, he worries, might raise the risk of war overall: “One can see that through lowering one’s own barriers to war, one can more realistically deter the enemy. This undoubtedly reduces war’s actual combat threshold.”24 This may reflect a Chinese concern that Trident conventionalization could give the United States more ability to coerce China in a variety of combat scenarios.

The nuclear deterrence provided by American nuclear-powered ballistic-missile submarines (SSBNs) is well recognized in the majority of Chinese writings, as is the significance of the shifting of five Ohio-class SSBNs (USS Pennsylvania, Kentucky, Nebraska, Louisiana, and Maine) from the Atlantic to Pacific fleets from 2002 to 2005. This transfer occurred as the four oldest Ohio-class SSBNs, which had all been stationed in the Pacific, were temporarily taken out of service for conversion to SSGNs; the two transactions effectively rebalanced the American SSBN force from a Pacific/Atlantic ratio of ten/eight to nine/five.25

**Fast Attack Submarines**

Chinese observers are intensely interested in and closely follow other modern U.S. nuclear submarines, including the USS Jimmy Carter, Seawolf, and Hawaii. Highly detailed, full-page color photos of Seawolf- and Virginia-class submarines appear in China’s most prominent naval journals. These photos are usually accompanied by articles that imply an advanced state of technology and advanced acoustic quieting. Thus, for example, Seawolf is described as having an X type stern, [sic] employing a non-circulating main pump SbW [sic] pressurized water reactor, relying on natural circulation of cooling water, thereby reducing noise; using an advanced pump jet propulsor, thereby reducing noise, using anechoic tiles on the hull. Anechoic tiles can absorb the enemy’s active sonar survey waves as well as both separate and reduce the submarine’s own noise radiation. Moreover, this ship’s own machinery power equipment also employs [sound isolation] technology. These measures reduce the Seawolf-class’s noise level.
to 95 decibels, making it the world’s quietest submarine (ocean background noise is 90 decibels, *Kilo* 636 noise is 105 decibels). Additional Chinese authors believe *Seawolf* possesses “beyond-first-class performance” and is regarded as the most sophisticated and lethal submarine yet to go to sea, despite its “tortuous development history.”

The Chinese also respect *Virginia*-class submarines for their advanced technology and quietness. An author in *Modern Navy* states that “compared with the *Sea Wolf*-class submarine, the *Virginia* is slower and carries fewer weapons, but is just as quiet. Its acoustic signature is lower than that of the improved model of Russia’s *Akula*-class attack submarine and Russia’s fourth-generation attack submarine that will hereafter be in active service.” Another analyst, in discussing the *Virginia* class’s acoustic achievements, reports, “The *Virginia*-class has been called ‘the world’s quietest submarine,’ with a cruising sound level that is only 1/10 that emitted by a *Los Angeles* class boat pierside.”

The techniques used to build *Virginia* and its sister ships also evoke respect, with one author noting, “The use of modular construction has been a major breakthrough in the construction of the *Virginia*-class SSN… This construction method is a revolutionary breakthrough compared to the methods used to build the *Los Angeles*-class.” Modular construction is widely perceived as a tremendous advantage, allowing the United States to “promptly design and build new nuclear submarines on the basis of new circumstances and requirements.” Plans for *Virginia*, it is implied, having been generated by computer-aided design tools and relying on modular construction, could be used as the basis of a new SSBN design. Chinese authors argue that *Virginia’s* impressive technology allows it to “scout, reconnoiter, and keep watch from a concealed position using its modern sensors to gather intelligence; analyze it; fix radar positions, missile bases, and command centers; as well as watch and track warship movements.” The *Virginia* class is thus seen as “a completely new attitude emerging on the world military combat arena.” Some Chinese analysts believe “the U.S. will keep building *Virginia* class boats and the final number could exceed 30.”

*Los Angeles*-class submarines receive significant attention from Chinese authors. One article on this class notes, “The American Navy believes that: nuclear attack submarines are the most worthwhile weapons investments because they are the most survivable weapons platforms, have the advantage of being stealthy, and have become one of the premier threats at sea.” Another author rates their performance as “outstanding,” with the reservation that although they have superior weaponry, they “might not [have proved] an effective counter to new types of Soviet nuclear submarines.” This impending disparity, in turn, is credited with precipitating U.S. follow-on designs. Still another observer notes that *Los
Angeles–class submarines are aging: “By the year 2020, the U.S. military intends to have built 30 nuclear attack submarines. However, by the year 2016, all of the Los Angeles–class submarines will exceed 30 years of service life”; the writer emphasizes the great expense of replacing them with Virginia-class vessels.\(^{38}\)

Chinese naval observers regard American torpedo technology highly. Noting an enviable six decades of torpedo experience, one Chinese author observes, “Since World War II and for a relatively long period, U.S. torpedo technology has always been among the best in the world.”\(^{39}\) With specific reference to the Mark 48 heavyweight torpedo, another analyst assesses that “the [Mark 48] torpedo’s outstanding effectiveness in all combat circumstances has been proven and it can be used to attack surface ships, nuclear submarines, and also diesel electric submarines.”\(^{40}\) The same author describes the aggressive U.S. torpedo-testing program: “The USN has already carried out more than 6500 exercises and war-shot firings [with the Mark 48], in addition to 20,000 simulations and 9 million mathematical simulations, so that this torpedo reaches a high state of reliability.” Perhaps in reference to the sinking of Russian Oscar-class submarine Kursk, and also as part of an overall effort to improve submarine safety consciousness, this analyst later observes that “the [Mark 48] system has been in active service since 1982 and there have been no safety accidents.”

Not every Chinese analyst would readily agree that the Mark 48 torpedo or its Advanced Capability (ADCAP) variant is especially fearsome. In a 2005 article, a Chinese author flatly stated, “Traditional heavy-weight torpedoes practically have no way to cope with modern diesel submarines in shallow waters.”\(^{41}\) The author notes that “shallow waters constitute a very acoustically complex warfare environment” and that the U.S. Navy has allocated significant resources to developing sonars suitable for littoral combat against diesel submarines.\(^{42}\) Another analyst, however, appreciates the U.S. Navy’s ability to upgrade the weapon: “At the moment, [the Mark 48] torpedo is still being upgraded, so that it can correspond to the challenges associated with shallow water environments and threat—it is expected to be in service with the USN until 2025.”\(^{43}\)

**Sensors, Systems, Research, Development, and Training**

American efforts at exploiting advancements in commercial off-the-shelf technology have received attention. One article observes that “the updated (COTS) CCS MK II [fire control] system is not only used on the Los Angeles and Ohio classes, but is also used on the new Seawolf and Virginia class submarines”;\(^{44}\) another points out that “92% of the hardware and 90% of the software used in non-publicly available projects in fact come from popular commercially available technologies.”\(^{45}\) China’s intense interest in the U.S. Navy’s use of COTS may stem
in part from Beijing’s effort to develop a world-class commercial information-technology industry and to incorporate its products into the PLA.

Chinese analysts also monitor American submarine sensor development. One article notes, “At present, the U.S. is the world leader in developing periscope technology and using it on its submarines.”⁴⁶ U.S. efforts to bolster the submarine force’s mine warfare capabilities receive particular attention.⁴⁷ Moves to develop and acquire the Long Term Mine Reconnaissance System (LMRS) have been noted, with one researcher stating that “the U.S. is now buying 8 long-range mine scouting systems to be put on the Los Angeles and Virginia class nuclear attack submarines.”⁴⁸

Chinese observers pay fairly close attention to American submarine-related research and development efforts. For example, websites on Chinese naval matters frequently report on the awarding of Defense Advanced Research Projects Agency (DARPA) and Office of Naval Research (ONR) contracts.⁴⁹ Chinese journals take advantage of these announcements and also scour the U.S. open press for sources that can be exploited. For example, a rather lengthy article in the June 2002 issue of 现代舰船 (Modern Ships) reprinted the “Submarine of the Future” briefing slides (complete with a logo in the upper left-hand corner of each) generated by the DARPA-sponsored, Lockheed Martin–led industrial consortium “TEAM 2020.” These slides depict futuristic hull forms, sonar configurations, propulsors, weapons storage ideas, interfaces for unmanned underwater vehicles, and other elements of advanced submarine designs and concepts.⁵⁰ It seems that little, if any, publicly released information regarding U.S. submarine-related research and development escapes the attention of Chinese analysts.

In keeping with the technological dynamism of U.S. platforms and their constant improvement, Chinese analysts also credit the American submarine force with an extremely rigorous selection and training process for commanding officers. In a coauthored article in Modern Navy, Rear Admiral Yang Yi, a PLA expert on the United States and former naval attaché in Washington, emphasizes that “the U.S. Navy’s selection process for the commanding officers of nuclear submarines is very strict.” Yang details the numerous education and training programs that successful candidates must attend, as well as the periodic qualifying tests they must undergo. A major emphasis of his article is the extent to which submarine commanders must periodically update their “specialized [technical] knowledge.”⁵¹

HISTORICAL ISSUES
Although China is emerging as a submarine power, its submarine force, and indeed its navy overall, generally lacks blue-water experience, to say nothing of a combat history. Of course, this paucity of experience stands in stark contrast
to the U.S. submarine force, and PLA Navy analysts are acutely aware of that
disparity. In fact, Chinese naval analysts have expressed particular admiration for
the record of American submarines in World War II, pointing out that “the U.S.
submarine force had the fewest losses” of any major submarine force “but had
high combat effectiveness. According to statistics, the U.S. submarine force de-
stroyed 1,314 enemy ships during the war.” Moreover, Chinese sources indicate
an appreciation for the accumulated knowledge that the U.S. Navy has achieved
through decades of intense submarine operations. Another Chinese source ob-


While there are numerous Chinese writings on the U.S. Navy’s submarine
force’s campaign against Japan, this article focuses on the Chinese perceptions
of American submarine operations during the Cold War. Some of the observa-
tions made in this context may explain aspects of contemporary PLA Navy
submarine doctrine. For example, an article in Modern Ships relates an anecdote
of a “Soviet Type 627 [known in the West as “November”] nuclear attack
submarine [that] once went all out in a race with a U.S. Navy aircraft carrier,
revealing the Soviet attack submarine’s capabilities. [This revelation] apparently
has had a major impact on the development of a new class of American sub-


With respect to the Cold War at sea, one Chinese book published in 2006 is
worthy of particular note. The translation of a Russian book, Secrets of Cold
War Undersea Espionage, it states that “U.S. nuclear and conventional submarines
would often lurk along the routes of Soviet warships, and even within Soviet ter-


CHINESE ASW AND THE U.S. NAVY SUBMARINE FORCE
When considering Chinese views of the American submarine force, it is certainly
relevant to consider how China appraises its own antisubmarine warfare forces.
Generally, China considers its ASW forces to be weak. One Chinese naval
analyst observes: “[Chinese] people are focused on China’s submarine force (both conventional and nuclear) development, but often neglect the threat we face from [U.S. Navy] submarines.” It is, moreover, suggested that “there is still a relatively large gap between [China’s] ASW technology level and that of the world’s advanced level.” In appraising the ASW capabilities of its own surface forces, another naval analyst notes, “Across the world, most naval ships are now equipped with towed array sonars, which has increased their ASW capabilities, but most of our ships only have hull mounted sonars.” Finally, there is a concern that these antisubmarine assets are themselves highly vulnerable: “Submarines can carry out ferocious missile attacks from tens or even 100–200km ranges, causing the submarine hunting vessels to become the hunted targets.”

Chinese aerial ASW is also highlighted as a particular weakness. One Chinese analyst judges that the Z-9 helicopter lacks adequate range and internal space for the ASW mission. A second argues that while the Z-8 has better range and capacity, it is too big for most surface combatants to carry and chronic engine troubles have limited production. The Russian-import Ka-28 ASW helicopter is reported to be capable but few in numbers. As for Chinese maritime patrol aircraft, some designs have apparently been developed, including a variant of the Y-7 Fearless Albatross, but the outlook is said to remain bleak. Thus, one evaluation of Chinese aerial ASW concludes, “Our country at the present stage does not have an ASW maritime patrol aircraft . . . but the number of submarines in our peripheral seas is increasing, and their technological sophistication is also increasing. This contradiction is becoming more obvious every day, creating a grim situation.”

In Chinese discussions of Russian ASW systems, there is a pointed recognition that the Soviets leaned heavily toward the use of tactical nuclear weapons (e.g., nuclear depth charges and torpedoes) in ASW operations. Tactical nuclear weapons are also mentioned in the context of mine warfare. An article in the July 2006 issue of Modern Navy, in discussing possible PLA Navy use of sea mines, suggests the potential combat value of nuclear-armed versions. It will be important to watch closely for any sign of Chinese efforts in this direction.

While the overall impression is that of Chinese ASW weakness, there is one notable exception. Significant prioritization appears to be given to the use of sea mines for the antisubmarine mission, as if to produce a “poor man’s ASW capability.” One discussion explains, “Because of a tremendous change in the maritime strategic environment, since the early 1990s the PLA has made mobile ASW sea mines a focal point of weapons development.” The analysis continues, “[China] is energetically undertaking the research mission [of] using [mobile ASW sea mines] against U.S. nuclear submarines.” The same discussion also hints at a
possible PLA Navy ASW role: “The major mission of self-guided sea mines is to isolate American nuclear submarines outside the First Island Chain.”

It is noteworthy for the future development of Chinese antisubmarine warfare that hydroacoustics has been called a “key point” technology for state investment. The conventional wisdom has long been that the Chinese submarine force is focused entirely on the anti-surface ship mission. This assumption may have become outdated, perhaps especially after the PLA Navy received the last of eight new Kilo-class diesel submarines (and accompanying weaponry) from Russia in 2006. According to Professor Li Daguang of China National Defense University, these new Kilos have four missions: to blockade Taiwan, threaten carrier battle groups, employ land-attack cruise missiles as a “strategic weapon,” and “form an underwater threat to the U.S. nuclear submarine force.”

There is also preliminary evidence that China is moving toward deploying antisubmarine rocket weapons on its newest surface combatants. This system is no “silver bullet,” as the Chinese would still have severe, perhaps insurmountable, targeting and cueing problems, but successful acquisition and deployment of ASROCs would extend the engagement range of Chinese ASW weapons significantly. It is also worth noting that Chinese sources discuss “many openly published dissertations concerning underwater targeting for a homing depth charge.”

To reverse the equation: How do Chinese naval analysts appraise American ASW, and in particular the submarine force’s part in it? Clearly, the PLA Navy understands the overall centrality of SSNs in U.S. antisubmarine warfare. Thus an article in Modern Navy states: “The nuclear attack submarine . . . is the most effective tool for ASW.” However, some PLA Navy observers appear rather unimpressed by American efforts in ASW. The same official Chinese Navy journal observes: “The U.S. Navy actually has not had sufficient exercises in the [ASW arena] and also lacks experience.” In the same article, it is likewise noted that “conducting ASW in the littorals represents a special difficulty for the USN” and that “the combat advantage of the U.S. Navy nuclear submarine force in the littoral areas is far from obvious.” On this note, Campaign Theory Study Guide, a 2002 textbook written by China National Defense University scholars that draws on a variety of high-quality doctrinal publications, emphasizes that “nuclear-powered attack submarines have difficulty operating in close proximity to shore due to natural conditions.” Another Chinese naval analysis suggests that “up to 2005, the USN has altogether 350 ASW platforms, just 11% of the number of [ASW] platforms it fielded in 1945. Moreover, many of these current naval and air platforms are not specialized for ASW, but more often are multi-mission platforms.”

This quantitative comparison across historical periods is crude in some ways, but there is no denying that inherent physical principles combined with the
vast geographical area of the Pacific Ocean will likely keep ASW an asset-intensive mission, even in the age of “net-centric warfare.”

THE U.S. NAVY SUBMARINE FORCE-LEVEL TRAJECTORY

Chinese discussions of the American submarine force focus heavily on the continuing decline in its size. As one article from a People’s Republic of China (PRC) naval-interest publication states, “The decline of U.S. submarine strength is inevitable.” Indeed, that a wide variety of Chinese naval sources share this evaluation suggests that this “decline” now passes for conventional wisdom within the PLA Navy. The Chinese naval community is likely paying close attention to internal U.S. debates, knowing that investments made (or forgone) today in submarine fleet modernization shape the future fleet.

Some Chinese assessments of the Seawolf program appear to point out indirectly the internal political tensions that hold down American submarine build rates now and perhaps in the future. One volume notes: “Although the Sea Wolf-class SSN gathers the era’s most advanced technology in a single hull, and possesses beyond-first-class performance, the appraisals of ‘Sea Wolf’ by American public figures from all walks of life differ, with a roughly half-and-half split between praise and condemnation.”

Taking the long view, Chinese naval strategists recognize that force levels have dropped drastically from Cold War levels. One source observes, “Since 1989, the U.S. Navy’s nuclear-powered attack submarine [force] has been reduced by half.” A more recent Chinese naval press article estimates that “[U.S.] nuclear attack submarines will decline in number by close to 40%, eventually reaching 30 boats.” This calculation is roughly consistent with a projection in Modern Navy that anticipated a sustained build rate of one boat per year.

A REPUTATION FOR MASTERY?

This article demonstrates that Chinese strategists are keenly interested in the U.S. Navy’s submarine force. Thousands of articles have reviewed various aspects of American submarine capabilities, operations, and developmental trends. There is clear evidence that Chinese naval analysts have enormous respect for U.S. submarines, submariners, and their weapons. Certainly, China aspires to be a submarine power and hopes to emulate certain aspects of American experience. However, it is equally clear in these writings that the U.S. submarine force is seen as a key challenge in any military confrontation between Beijing and Washington. It is
significant in that regard especially that Chinese analysts are increasingly drawing attention to, and seeking to remedy, their antisubmarine warfare deficiencies. The study also reveals an apparent assumption within Chinese naval analytic circles that American submarine force levels are on a downward trajectory.

NOTES

1. This article draws extensively on five of the serious PRC professional publications concerned with naval warfare: 当代海军 (Modern Navy), 人民海军 (People’s Navy), 舰船知识 (Naval and Merchant Ships), 舰载武器 (Shipborne Weapons), and 现代舰船 (Modern Ships). Modern Navy is a monthly magazine published by the official PLAN newspaper People’s Navy, which is the daily newspaper published by the Political Department of China’s navy. Modern Navy offers articles that are often concrete and revealing of important capabilities, initiatives, and exercises. See, for example, 徐红明, 刘新民 [Xu Hongming and Liu Xinmin], “'敌后'布雷—中国海军某潜艇突破反潜编队训练目击记” [Lay Mines “In the Enemy’s Rear Area”: An Eyewitness Account of a Certain PLAN Submarine Exercise Involving Breaking Through Antisubmarine Formations], 当代海军 [Modern Navy], no. 4 (2003), p. 38. 舰船知识 (Naval and Merchant Ships), a semitechnical monthly publication of the Chinese Society of Naval Architecture and Marine Engineering, has directly involved a retired PLA Navy rear admiral, Zheng Ming, formerly head of the PLA Navy’s Equipment Department, in its publication activities. See “我刊召开作者, 读者, 编者座谈会” [Our Journal Convenes a Discussion among Writers, Readers and Editors], 舰船知识 [Naval and Merchant Ships] (August 2006), p. 8. An active-duty PLA Navy admiral has contributed to the journal. See 杨毅 [Yang Yi], “谁的潜艇今后说了算?” [Who Can Estimate the Future Number of Submarines?], 舰船知识 [Naval and Merchant Ships] (July 2006), p. 28. Shipborne Weapons and Modern Ships are both monthly journals published by the state-owned China Shipbuilding Industry Corporation (CSIC), China’s largest designer, manufacturer, and trader of military and civilian vessels and related engineering and equipment. In addition to these naval-oriented publications, 中国军事科学 (China Military Science) is published by the PLA’s Academy of Military Sciences.

2. Because of the difficulty in conclusively identifying the authors of many Chinese writings on naval issues, this article will use a very broad definition of “naval analyst”—namely, one who engages in research and publication concerning naval affairs.


7. Ibid., p. 42.

8. Ibid., pp. 41–42.


10. 孙晔飞, 聂其武 [Sun Yefei and Nie Qiwu], “从美核潜艇出事: 瞧潜艇非战时事故规律” [Looking at Patterns of Nonwar Submarine Accidents, from the Perspective of...

12. Ibid., pp. 9–11.


17. Ibid., p. 34.


25. See, for example, Zhu Wei, "美9艘战略核潜艇聚集太平洋" [Nine U.S. Strategic Nuclear-Powered Submarines Assembled in the Pacific Ocean], 现代海军 [Modern Navy] (November 2005), pp. 58–59. In addition to commenting on the significance of the United States transferring SSBNs and SSNs from the Atlantic to the Pacific oceans, this lengthy, wide-ranging article also devotes substantial space to alleged aging problems in the W76 nuclear warhead, criticizes as overly large and unstable the U.S. nuclear stockpile, and decries the negative effects of all this on Asia-Pacific security.
30. Only three Sea Wolf class submarines were ever built. The Cold War’s end made it impossible to justify construction of additional hulls, because this submarine had been optimized for combating the Soviet Navy. Wang Yu and Yao Yao, eds., World Naval Submarines, p. 129.
31. Ibid., p. 29.
34. Ibid.
35. He Shan, “Can the Virginia Class Become the New Century’s Oceanic Hegemon?” p. 21.
37. Wang Yu and Yao Yao, eds., World Naval Submarines, p. 121.
42. This article does not analyze Chinese examinations of U.S. sonar, navigation, combat control, ESM, or radio systems.
43. See, for example, the “US Submarines, Anti-submarine Warfare and All News” section of the China Defense.com Forum at www.china-defense.com/forum/index.php?showtopic=1541.


54. Ibid.


56. 拜科夫, 济科夫 [Zykov and Baikov—in Russian], 水下间谍战的秘密 [Secrets of Undersea Espionage] (Shanghai: Shanghai Translation, 2006).

57. Ibid., p. 10.

58. Ibid., p. 12.

59. Ibid.

60. Tai Feng, “Does China Need Anti-submarine Patrol Aircraft?” p. 70.


64. Tai Feng, “Does China Need Anti-submarine Patrol Aircraft?” p. 73.


67. Ibid., p. 75.

68. Ibid., p. 73.

69. See, for example, ibid., p. 72; and Xun Fu, “PLA Navy Anti-submarine Weapons Development,” p. 30.


75. 陈位吴 [Chen Weiwu], “解放军新基洛” [The PLA’s New Kilos], 国际展望 [World Outlook] (July 2006), p. 25.


77. Ibid., p. 32.


85. 孟昭珍, 张宁 [Meng Zhaozhen and Zhan Ning], “潜艇承担的新任务” [Submarines Assuming New Missions], 情报指挥控制系统与仿真技术 [Intelligence, Command, Control, and Simulation Technology] (April 2003), p. 12.

